

On Fermat's marginal note: a suggestion

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Abstract

A suggestion is put forward regarding a partial proof of *FLT* case1, which is elegant and simple enough to have caused Fermat's enthusiastic remark in the margin of his Bachet edition of Diophantus' *Arithmetica*. It is based on an extension of Fermat's Small Theorem (*FST*) to mod p^k for any $k > 0$, and the cubic roots of 1 mod p^k for primes $p = 1 \bmod 6$. For this solution in residues the exponent p distributes over a sum, which blocks extension to equality for integers, providing a partial proof of *FLT* case1 for all $p = 1 \bmod 6$. This simple solution begs the question why it was not found earlier. Some mathematical, historical and psychological reasons are presented.

In a companion paper, on the triplet structure of Arithmetic mod p^k , this cubic root solution is extended to the general rootform of *FLT* mod p^k (case1), called *triplet*. While the cubic root solution involves one inverse pair: $a + a^{-1} \equiv -1 \bmod p^k$ ($a^3 \equiv 1 \bmod p^k$), a triplet has three inverse pairs in a 3-loop: $a + b^{-1} \equiv b + c^{-1} \equiv c + a^{-1} \equiv -1 \bmod p^k$ where $abc \equiv 1 \bmod p^k$, which is not restricted to p -th power residues (for some $p \geq 59$) but applies to all residues in the group $G_k(\cdot)$ of units in the semigroup of multiplication mod p^k .

1 Introduction

Around 1637 Fermat discovered his Small Theorem (*FST*): $n^p \equiv n \bmod p$ for prime p and all integers n , probably inspired by Pascal's triangle: the multiplicative (factorial) structure of the coefficients in the expansion of $(a + b)^p$. Only if p is prime does it divide the binomial coefficient of each of the $p - 1$ *mixed* terms, that is: except a^p and b^p . Hence p divides $(a + b)^p - (a^p + b^p)$, in other words $(a + b)^p \equiv a^p + b^p \bmod p$, so exponent p distributes over a sum (mod p).

One wonders, as possibly Fermat did, if this equivalence could hold mod p^k for $k > 1$ and some special a, b - thus extending *FST* to higher precisions k . It will be shown that a straightforward extension of *FST* to mod p^k plays, for $p=1 \bmod 6$, an essential role in a special solution of normalized form *FLT* mod p^k : $a^p + b^p \equiv -1 \bmod p^k$. Here exponent p distributes over a sum, yielding a partial proof of the *FLT* inequality for integers (in case1: a, b coprime to p).

1.1 Extending *FST* to mod p^k for $k > 1$

Notice that $n^p \equiv n \bmod p$ implies $n^{p-1} \equiv 1 \bmod p$ for $n \not\equiv 0 \bmod p$, and in fact all $p - 1$ non-zero residues mod p are known to form under multiplication a cyclic group of order $p - 1$. There are p^{k-1} multiples of p among the p^k residues mod p^k . So $(p - 1)p^{k-1}$ residues are coprime to p . They form the group G_k of *units* in the semigroup $Z_k(\cdot)$ of multiplication mod p^k . For each $k > 0$ there

is necessarily a cyclic subgroup of order $p - 1$, called the **core** A_k of G_k . Clearly each n in core has $n^p \equiv n \pmod{p^k}$.

Actually, units group G_k is known to be cyclic for $p > 2$ and all $k > 0$. Its order, as product of two coprime factors, implies it is a direct product $G_k \equiv A_k \cdot B_k$ of two subgroups, namely **core** A_k of order $p - 1$ and **extension** subgroup B_k of order p^{k-1} . Each n in core A_k satisfies $n^p \equiv n \pmod{p^k}$, which clearly is a generalization of Fermat's Small Theorem (*FST*) mod p to mod p^k .

Furthermore, the choice of modulus p^k yields every p -th iteration of a generator of G_k , thus all p -th power residues, to form a subgroup F_k of order $|G_k|/p = (p - 1) \cdot p^{k-2}$. For $k=2$ we have $A_2 \equiv F_2$: then the core is the 'Fermat' subgroup of p -th power residues. In general we have $|A_k| = p - 1 = |G_k|/p^{k-1} = |F_k|/p^{k-2}$, and $A_k = \{n^{p^{k-1}}\} \pmod{p^k}$ for all n in G_k .

2 Solution of $FLT \pmod{p^k}$ in Core has the *EDS* property

The exponent distributes over a sum for an $FLT \pmod{p^k}$ solution **in core**, because $(a + b)^p \equiv a + b \equiv a^p + b^p \pmod{p^k}$, where $a^p \equiv a$ and $b^p \equiv b \pmod{p^k}$. Such solution is said to have the *EDS* property: Exponent p Distributes over a Sum.

If such a solution exists, as for each prime $p \equiv 1 \pmod{6}$ (see further: *cubic roots*), then it cannot hold for integers, providing a direct proof of integer inequality after all, despite Hensel's lemma of infinite extension, described next. A solution *in core*, having the *EDS* property, implies the *FLT* (case1) inequality for integers. Apart from a scaling factor, the cubic root solution is in fact [1] the only one with all three terms in core A_k ($k \geq 3$).

2.1 Hensel's extension lemma is no obstacle to a direct *FLT* proof

Observe that for $k \geq 2$ core A_k consists of p -th power residues. The group of units is cyclic: $G_k \equiv g^*$ with some generator g , and for instance $|G_2| = (p - 1)p$, so each p -th iteration of g is in core A_2 which is a subgroup of order $p - 1$.

It is easily verified that the two least significant digits of any p -ary coded number determine if it is a p -th power residue, namely *iff* it is in core A_2 . If so, then any more significant extension is also a p -th power residue. This is known as *Hensel's extension lemma* (1913) or the *Hensel lift*. This lemma implies that each $FLT \pmod{p^k}$ solution is an more-significant digit (*msd*) extension of a solution mod p^2 .

This lemma prevented the search for a direct *FLT* proof via residues, by the unwarranted conclusion that inequality for integers cannot be derived from equivalence mod p^k . In fact, the solutions of $FLT \pmod{p^k}$ (case1) can all be shown to have exponent p distributing over a sum, the "*EDS*" property (or a variation of it) [1, lem3.1] yielding inequality for integer p -th powers $< p^{pk}$.

2.2 Cubic roots of 1 mod p^k sum to 0 mod p^k

Additive analysis shows that *each* core subgroup $S \supset 1$, hence of order $|S|$ dividing $p - 1$, sums to 0 mod p^k (*core theorem*). If 3 divides $p - 1$, hence $p \equiv 1 \pmod{6}$, the subgroup $S = \{a, a^2, a^3 = 1\}$ of the three cubic roots of 1 mod p^k sum to 0 mod p^k , solving *FLT* mod p^k .

For $|S| = 3$ this zero sum is easily derived by simple means, without the elementary semigroup concepts necessary to derive the additive *core thm* in general. So Fermat might have derived this

cubic root solution of $FLT \bmod p^k$ for $p \equiv 1 \bmod 6$, starting at $p=7$. A simple **proof** of the cubic roots of 1 $\bmod p^k$ to have zero sum follows now, showing $a+b \equiv -1 \bmod p^k$ to coincide with $ab \equiv 1 \bmod p^k$. Notice $a+b = -1$ to yield $a^2 + b^2 = (a+b)^2 - 2ab = 1 - 2ab$, and:

$$a^3 + b^3 = (a+b)^3 - 3(a+b)ab = -1 + 3ab. \quad \text{The combined sum is } ab - 1:$$

$$\sum_{i=1}^3 (a^i + b^i) = \sum_{i=1}^3 a^i + \sum_{i=1}^3 b^i = ab - 1 \bmod p^k. \quad \text{Find } a, b \text{ for } ab \equiv 1 \bmod p^k.$$

Since $n^2 + n + 1 = (n^3 - 1)/(n - 1) = 0$ for $n^3 = 1$ ($n \neq 1$), we have $ab = 1 \bmod p^{k>0}$ if $a^3 \equiv b^3 \equiv 1 \bmod p^k$, so 3 must divide $p - 1$ ($p \equiv 1 \bmod 6$).

2.3 Proof of FLT (case1) for $p = 3, 5, 7$

Consider now only FLT case1. As mentioned earlier, the known Hensel extension lemma yields each solution of $FLT \bmod p^k$ to be an extension of a solution $\bmod p^2$, so analysis of the normed $a^p + b^p \equiv -1 \bmod p^2$ is necessary and sufficient for the existence of solutions at p for any k .

For $p=3$ we have $|G_2|=2.3$ with core $A_2 = \{-1, 1\}$, so core-pairsums yield $\{-2, 0, 2\}$ which are not in core A_2 , hence are not p -th power residues. So the FLT inequality holds for $p=3$.

For $p=5$: $G_2 = 2^*$ with $|G_2|=4.5$, and core $A_2 = (2^5)^* = 7^* \bmod 25$. So $A_2 \equiv \{7, -1, -7, 1\}$ and non-zero coresums $\pm\{2, 6, 8, 14\}$ which are not in core A_2 , hence are not p -th power residues, and thus FLT holds for $p=5$.

For $p=7$: $G_2 = 3^*$ (order 6.7=42) and core $A_2 = (3^7)^* = 43^* = \{43, 42, 66, 24, 25, 01\}$ (base 7). The sum of **cubic roots** of 1: $\{42, 24, 01\}$ yields equivalence $\bmod 7^2$, which necessarily yields inequality for integers due to the EDS property. So for $p=7$, and in fact for all $p \equiv 1 \bmod 6$, FLT (case1) holds for the corresponding cubic root solutions.

3 Triplets as general root-form of $FLT \bmod p^k$

A cubic root solution involves one inverse pair: $a + a^{-1} \equiv -1 \bmod p^k$ ($a^3 \equiv 1, a \neq 1, a^{-1} \equiv a^2$). The question remains if possibly other solutions to $FLT \bmod p^k$ exist, which can be answered by elementary semigroup techniques. In fact there is precisely *one* other solution type involving *three* inverse pairs in a successor coupled *loop* of length 3, called

triplet: $a + 1 \equiv -b^{-1}, b + 1 \equiv -c^{-1}, c + 1 \equiv -a^{-1} \bmod p^k$, where $abc \equiv 1 \bmod p^k$.

If $a \equiv b \equiv c$ then this reduces to the cubic root solution, which holds for each prime $p \equiv 1 \bmod 6$. Triplet solutions occur for some primes $p \geq 59$. A variant of the EDS property can be derived for them [1, lem3.1] sothat FLT (case1) holds for all primes $p > 2$.

4 Summary

Did Fermat find the cubic root solution? If Fermat knew the cubic root solution for $p \equiv 1 \bmod 6$, and also could prove it by elementary means, as shown earlier, this might explain his enthusiastic note in the margin, about a beautiful proof.

However, to complete the proof of case1 it is required to show that the cubic root solutions are the *only* solution type, which they in fact are not. It seems very unlikely that he knew about the triplets, which start at $p=59$. So he probably let the problem rest, realizing the cubic roots are

only a partial proof of *FLT* case1. Another obstacle would be *case2* where p divides one of x, y, z , which requires a somewhat different approach [1].

Experimenting with $p = 3, 5, 7 \pmod{p^2}$: On Fermat's conjecture (*FLT*) of the sum of two p -th powers never to yield a p -th power (for $p > 2$), consider the next assumption about what he might have discovered, using means available at that time (1640). As shown, $p = 3$ and $p = 5$ yield no solution mod p^2 , hence no solution of *FLT* mod p^k for any k .

However for $p = 7$, using p -ary code for residues mod p^k (prime $p > 2$, k digits) and experimenting mod 7^2 ($p = 7$, $k = 2$), it is readily verified that $x^p + y^p \equiv z^p \pmod{p^2}$ does have a solution with the cubic root of unity: $a^3 \equiv 1 \pmod{p^2}$. In fact: $a + 1 \equiv -a^{-1} \pmod{7^2}$ ($a \not\equiv 1 \pmod{7^2}$), or equivalently "one-complement" normal form:

$$a + a^{-1} \equiv -1 \pmod{p^2}, \text{ with } a \equiv 24 \text{ (in 7-code, decimal 18) and } a^{-1} \equiv 42 \text{ (decimal 30).}$$

As shown, this cubic-root solution holds for every prime $p \equiv 1 \pmod{6}$, and moreover (and this is the clue): $a^p \equiv a \pmod{p^k}$, for every $k > 0$. Because cubic root " a " is in a $p-1$ order *core* subgroup of p -th power residues in the units group $G_k \pmod{p^k}$ in ring Z_k ($k > 1$). So:

$$a^p + a^{-p} \equiv a + a^{-1} \equiv -1 \equiv (-1)^p \equiv (a + a^{-1})^p, \text{ prime } p \equiv 1 \pmod{6}.$$

For this solution *in core* the Exponent p Distributes over a Sum ("*EDS*" property), which blocks extension to integer equality, proving *FLT* (*case1*) for all such cubic root solutions.

4.1 By 'modern' elementary concepts

Using elementary group concepts: the units group $G_k \pmod{p^k}$ has order $(p-1)p^{k-1}$, and G_k is known to be cyclic for all $k > 0$. The two coprime factors imply G_k to be a direct product of two cyclic groups

$$G_k \equiv A_k \cdot B_k \text{ with } \textit{core} \text{ subgroup } |A_k| = p-1, \text{ and } \textit{extension} \text{ subgroup } |B_k| = p^{k-1}.$$

Of course, these group theoretical arguments were not known in those days, but the insight that *FLT* mod p^k does have a cubic root solution for every prime $p \equiv 1 \pmod{6}$ at each $k > 0$, could very well be discovered by Fermat – first found by hand calculations mod 7^2 (in 7-ary code for instance), and then derived algebraically in general for all $p \equiv 1 \pmod{6}$, as shown.

Actually, with the known group concepts as described above, the Bachet margin might be large enough to sketch the essence of this proof for the cubic root solutions, and the impossibility of extension to integer equality (by the *EDS* argument).

Cubic roots not the only solution form. Assuming this solution occurred to Fermat, he must have realized that the full proof (at least of case1: with x, y, z coprime to p) would require to show that the cubic root type of solution is the only solution type.

However this is not the case, as mentioned earlier: the general type of solution is a "triplet": $a + b^{-1} \equiv b + c^{-1} \equiv c + a^{-1} \equiv -1 \pmod{p^k}$, with $abc \equiv 1 \pmod{p^k}$, involving not one inverse-pair, but three inverse-pairs in a loop of length 3 [1] - which only occurs for some primes $p \geq 59$, and of which the cubic root solution is a special case.

A variation of the *EDS* argument holds here, so again the *FLT* inequality for integers follows, proving *FLT* case1 after showing that no other solutions exist (here the non-commutative function composition of semigroups is essential, as applied to the two symmetries $-n$ and n^{-1} of residue arithmetic, as well as quadratic analysis mod p^3 , see thm3.2 in [1]).

Moreover, there is *FLT case2* , with an approach as given in [1].

Conclusion

The above sketched cubic root solution is a partial proof of *FLT* case1, possibly known to Fermat. However, missing the triplets for $p \geq 59$ (it is very doubtful that they could be found without computer experiments, which are easy for present day PC's), and possibly lacking an approach for *FLT* case2, he probably was inclined to keep quiet about this partial proof of *FLT* case1.

It is surprising that the cubic root solution of *FLT* mod p^k , and the corresponding *EDS* property of the exponent p distributing over a sum, did not surface long ago. Giving some thought to possible causes of this delay, one might consider the following phenomena of mathematical, historical and psychological nature.

1. Missing link between *FST* and *FLT*: It appears that, for unexplained reasons, no link has been made between Fermat's Small and Last Theorem, although both feature p -th powers: residues mod p in the first, and integers in the second. Furthermore, the $p - 1$ cycle corresponding to $n^p \equiv n$ is clearly common to the units group mod p and mod p^k . So it seems that the group structure, available since the second half of the previous century, is not considered for some reason. Possibly because of other promising approaches taken in the analysis of arithmetic (e.g. Hensel's p -adic number theory, 1913).
2. Dislike of exponentiation (\wedge) which is not associative, nor commutative, nor does it distribute over addition (+). Closure properties holding for $(+, \cdot)$ do not hold for (\wedge) . However, this situation is improved by taking p^k as modulus, because then the p -th power residues do form a subgroup F_k of the units group $G_k(\cdot)$, which for $k=2$ in fact is the core of G_2 with a nice additive property (zero sum subgroups). None of these properties is difficult to derive, and require only elementary semigroup concepts. It seems that application of semigroups to arithmetic ran out of fashion, rather being employed for the development of higher and more abstract purposes, such as category theory. Clearly in the elliptic curve approach *modular forms*, which have good closure properties, are preferred over exponentiation (Eichler [5]: "There are five basic arithmetic operations: addition, subtraction, multiplication, division and modular forms").
3. The *notation* under which *FST* usually is known: $n^{p-1} \equiv 1 \pmod p$, instead of the *fixed point* notation $n^p \equiv n \pmod p$, is less like the *EDS* form $(a + b)^p \equiv a^p + b^p \pmod p$ which might have prompted Fermat to explore the same mod p^2 and mod p^k (2- and k - digit arithmetic), yielding the cubic root solution mod 7^2 as first example.
4. The Hensel lift. From Hensel's p -adic number theory (1913) derives the known lemma about each solution of *FLT* mod p^k to be an extension of a solution mod p^2 . So analysis mod p^2 is necessary and sufficient for root existence, with an FLT_k root being a solution of $a^p + b^p \equiv -1 \pmod{p^k}$. This infinite precision extension to all $k > 0$, called the Hensel lift, is the most often cited objection against a direct proof of *FLT*; an unwarranted conclusion, due to the *EDS* property of all FLT_2 roots: $F_2 \equiv A_2$ is core. Moreover, analysis mod p^3 is necessary to describe the symmetries of FLT_k roots, and characterize the general *triplet* rootform [1].
 – The irony is that the basis of the Hensel lift also carries the solution: the *triplets* follow from a detailed (computer-) analysis of the solutions of $a^p + b^p \equiv -1 \pmod{p^2}$. Special attention was given to the role of inverse pairs, as indicated by the cubic root solution, by using logarithmic code over a primitive root of 1 mod p^2 ([1] table 2).

5. Computer use. Simple computer experiments were very helpful, if not indispensable, in discovering the triplets as general rootform – given the importance of inverse-pairs as evident from the cubic root solution. It is highly improbable that they could be discovered any other way ($p \geq 59$). Unlike Pascal and Leibniz, who even constructed their own accumulator and multiplier respectively, the use of a computer seems to be avoided by some [5].
6. The *FLT* proof via elliptic curves (A.Wiles, *Annals of Mathematics*, May 1995) blocked further interest in a simpler proof, or at least rendered such efforts irrelevant in the eyes of experts. The extreme complexity of that proof seems to be interpreted as an advantage, rather than a disadvantage.
7. The structure of a finite semigroup, starting with Schushkewitch's analysis [4, appx.A] of its minimal ideal (1928), combined with the concept of *rank* to model the divisors of zero, is useful to derive the additive *core* theorem in general ([1] thm1.1: each core subgroup $S \supset 1$ sums to $0 \bmod p^k$). The clue of *FLT* mod p^k is to look for an additive property in a multiplicative semigroup, although simple arithmetic suffices in the cubic root case.
8. The role of Authority. An often used argument against a simple *FLT* proof is: So many eminent mathematicians have tried for so long that it would have been found long ago. This argument of course does not take into account the essential ingredients of the cubic-root & triplet structure of arithmetic mod p^k [1] and the *EDS* property, such as: semigroup principles and computer experiments. These were only available since 1928 and 1950 respectively. On the contrary, the lack of results in various directions stresses the point that something was missing, requiring a different approach (commutative arithmetic $[+, \cdot]$ in the context of associative function composition: semigroups).
9. Between disciplines: The application of semigroups, that is associative function composition, to Arithmetic [4, p130] [6] . . . e.g. viewing its two symmetries: complement $C(n) = -n$, inverse $I(n) = n^{-1}$, and the successor $S(n) = n + 1$ as functions [1, thm3.2], turned out to be a rare combination. The extreme abstraction and specialisation in mathematics reduces the chances for a serious consideration of an inter- disciplinary approach.

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